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Foreword

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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted (see www.iso.org/directives).

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For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see www.iso.org/iso/foreword.html.

This document was prepared by SNIA (as Storage Management Technical Specification, Part 2 Common Architecture, Version 1.8.0, Revision 5) and drafted in accordance with its editorial rules. It was adopted, under the JTC 1 PAS procedure, by Joint Technical Committee ISO/IEC JTC 1, *Information technology*.

This second edition cancels and replaces the first edition (ISO/IEC 24775-2:2014), which has been technically revised.

The main changes compared to the previous edition are as follows:

— USAGE text was revised to address code (now included in the front matter for all SNIA specifications)

— All recipes and their references were deleted.

— Instances of subprofile were changed to profile. In the annex, instances of subprofile were changed to component profile (TSG meeting voice vote).

— Profile versions and related text were updated. (TSG meeting voice vote).

— Indications have been replaced by DMTF Indications, and all affected clauses updated. (TSG meeting voice vote).

— Instances of Experimental within profiles already labeled as Experimental were removed to avoid confusion and redundancy. (Editorial change)

— CIM/XML was changed to CIM-XML (Response to ballot comments).

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— Annex: SMI-S Information Model.

— The CIM schema version was changed to 2.51 for V1.8.0 Rev3.

— Health and Fault Management

  — Table 1: OperationalStatus for Disk Drive, revised re operational status.
  — Revised Array example and other text (CORE-SMIS-SCR-00084).

— Indications

  — Added as Clause 10, includes some material previously in Annex C (normative) Indication Filter Strings.
  — References the DMTF Indications Profile, DSP 1054, version 1.2.2.

— References

  — Five references were added to DMTF references (Final) section (to indicate most recent versions). One reference was added to References under development section.
  — Added link to the SNIA TLS Specification.
  — Deleted "V.1.0" from all references to the SNIA TLS Specification for Storage Systems in SMI-S v1.6.1 and later versions of SMI-S (TSG ballot).

— Security

  — Removed Experimental material in the Securityclause per voice vote in TSG.

— Standard Messages

  — Standard messages (in table format) remain in the document (after being removed in a previous revision, TSG meeting voice vote).
  — Changes applied to the Standard Message tables:
  — Promoted to experimental new alert standard messages for diagnostic tests on storage pools (SMIS-170-Draft-SCR00003).
  — Resolved duplicate use of standard messages in the Block Storage Messages section (TSG-SMISSCR00316.001).
  — Added alerts in Common Profile-Related Messages section (TSG-SMIS-SCR00315.001, SMIS-170-Draft-SCR00008).
  — Promoted the maturity level from DRAFT to EXPERIMENTAL for these revisions: Updated profiles to remove SNIA_ classes and use DMTF CIM_ classes. (TSG-SMIS-SCR00315.001, SMIS-170-Draft- SCR00008) in Common Profile-Related Messages section and Filesystem Messages section.

— Annex A (informative) Mapping CIM Objects to SNMP MIB Structure removed.

— Annex B (normative) Compliance with the SNIA SMI Specification changed to Annex A.

— Annex C (normative) Indication Filter Strings removed. Some material moved to new Indications profile.

A list of all parts in the ISO/IEC 24775 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html.

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INTENDED AUDIENCE

This document is intended for use by individuals and companies engaged in developing, deploying, and promoting interoperable multi-vendor SANs through the Storage Networking Industry Association (SNIA) organization.

CHANGES TO THE SPECIFICATION

Each publication of this specification is uniquely identified by a three-level identifier, comprised of a version number, a release number and an update number. The current identifier for this specification is version 1.8.0. Future publications of this specification are subject to specific constraints on the scope of change that is permissible from one publication to the next and the degree of interoperability and backward compatibility that should be assumed between products designed to different publications of this standard. The SNIA has defined three levels of change to a specification:

- Major Revision: A major revision of the specification represents a substantial change to the underlying scope or architecture of the SMI-S API. A major revision results in an increase in the version number of the version identifier (e.g., from version 1.x.x to version 2.x.x). There is no assurance of interoperability or backward compatibility between releases with different version numbers.

- Minor Revision: A minor revision of the specification represents a technical change to existing content or an adjustment to the scope of the SMI-S API. A minor revision results in an increase in the release number of the specification's identifier (e.g., from x.1.x to x.2.x). Minor revisions with the same version number preserve interoperability and backward compatibility.

- Update: An update to the specification is limited to minor corrections or clarifications of existing specification content. An update will result in an increase in the third component of the release identifier (e.g., from x.x.1 to x.x.2). Updates with the same version and minor release levels preserve interoperability and backward compatibility.

TYPOGRAPHICAL CONVENTIONS

Maturity Level

In addition to informative and normative content, this specification includes guidance about the maturity of emerging material that has completed a rigorous design review but has limited implementation in commercial products. This material is clearly delineated as described in the following sections. The typographical convention is intended to provide a sense of the maturity of the affected material, without altering its normative content. By recognizing the relative maturity of different sections of the standard, an implementer should be able to make more informed decisions about the adoption and deployment of different portions of the standard in a commercial product.

This specification has been structured to convey both the formal requirements and assumptions of the SMI-S API and its emerging implementation and deployment lifecycle. Over time, the intent is that all content in the specification will represent a mature and stable design, be verified by extensive implementation experience, assure consistent support for backward compatibility, and rely solely on content material that has reached a similar level of maturity. Unless explicitly labeled with one of the subordinate maturity levels defined for this specification, content is assumed to satisfy these requirements and is referred to as "Finalized". Since much of the evolving specification

content in any given release will not have matured to that level, this specification defines three subordinate levels of implementation maturity that identify important aspects of the content's increasing maturity and stability. Each subordinate maturity level is defined by its level of implementation experience, its stability and its reliance on other emerging standards. Each subordinate maturity level is identified by a unique typographical tagging convention that clearly distinguishes content at one maturity model from content at another level.

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Experimental Maturity Level

No material is included in this document unless its initial architecture has been completed and reviewed. Some content included in this document has complete and reviewed design, but lacks implementation experience and the maturity gained through implementation experience. This content is included in order to gain wider review and to gain implementation experience. This material is referred to as “Experimental”. It is presented here as an aid to implementers who are interested in likely future developments within the SMI specification. The contents of an Experimental profile may change as implementation experience is gained. There is a high likelihood that the changed content will be included in an upcoming revision of the specification. Experimental material can advance to a higher maturity level as soon as implementations are available. Figure 1 is a sample of the typographical convention for Experimental content.

EXPERIMENTAL

Experimental content appears here.

EXPERIMENTAL

Figure 1 - Experimental Maturity Level Tag

Implemented Maturity Level

Profiles for which initial implementations have been completed are classified as “Implemented”. This indicates that at least two different vendors have implemented the profile, including at least one provider implementation. At this maturity level, the underlying architecture and modeling are stable, and changes in future revisions will be limited to the correction of deficiencies identified through additional implementation experience. Should the material become obsolete in the future, it must be deprecated in a minor revision of the specification prior to its removal from subsequent releases. Figure 2 is a sample of the typographical convention for Implemented content.

IMPLEMENTED

Implemented content appears here.

IMPLEMENTED

Figure 2 - Implemented Maturity Level Tag

Stable Maturity Level

Once content at the Implemented maturity level has garnered additional implementation experience, it can be tagged at the Stable maturity level. Material at this maturity level has been implemented by three different vendors, including both a provider and a client. Should material that has reached this maturity level become obsolete, it may only be deprecated as part of a minor revision to the specification. Material at this maturity level that has been deprecated may only be removed from the specification as part of a major revision. A profile that has reached this maturity level is guaranteed to preserve backward compatibility from one minor specification revision to the next. As a result, Profiles at or above the Stable

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maturity level shall not rely on any content that is Experimental. Figure 3 is a sample of the typographical convention for Implemented content.

STABLE

Stable content appears here.

STABLE

Figure 3 - Stable Maturity Level Tag

Finalized Maturity Level

Content that has reached the highest maturity level is referred to as “Finalized.” In addition to satisfying the requirements for the Stable maturity level, content at the Finalized maturity level must solely depend upon or refine material that has also reached the Finalized level. If specification content depends upon material that is not under the control of the SNIA, and therefore not subject to its maturity level definitions, then the external content is evaluated by the SNIA to assure that it has achieved a comparable level of completion, stability, and implementation experience. Should material that has reached this maturity level become obsolete, it may only be deprecated as part of a major revision to the specification. A profile that has reached this maturity level is guaranteed to preserve backward compatibility from one minor specification revision to the next. Over time, it is hoped that all specification content will attain this maturity level. Accordingly, there is no special typographical convention, as there is with the other, subordinate maturity levels. Unless content in the specification is marked with one of the typographical conventions defined for the subordinate maturity levels, it should be assumed to have reached the Finalized maturity level.

Deprecated Material

Non-Experimental material can be deprecated in a subsequent revision of the specification. Sections identified as “Deprecated” contain material that is obsolete and not recommended for use in new development efforts. Existing and new implementations may still use this material, but shall move to the newer approach as soon as possible. The maturity level of the material being deprecated determines how long it will continue to appear in the specification. Implemented content shall be retained at least until the next revision of the specialization, while Stable and Finalized material shall be retained until the next major revision of the specification. Providers shall implement the deprecated elements as long as it appears in the specification in order to achieve backward compatibility. Clients may rely on deprecated elements, but are encouraged to use non-deprecated alternatives when possible.

Deprecated sections are documented with a reference to the last published version to include the deprecated section as normative material and to the section in the current specification with the replacement. Figure 4 contains a sample of the typographical convention for deprecated content.

DEPRECATED

Content that has been deprecated appears here.

DEPRECATED

Figure 4 - Deprecated Tag

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FOREWORD

Storage Management Technical Specification, Part 2 Common Architecture, 1.8.0 Rev 4 defines the core architecture of SMI-S. This includes the protocols (WBEM, SLP,...); the model is defined in the other specification parts.

Parts of this Standard

This standard is subdivided in the following parts:

- *Storage Management Technical Specification, Part 1 Overview, 1.8.0 Rev 4*
- *Storage Management Technical Specification, Part 2 Common Architecture, 1.8.0 Rev 4*
- *Storage Management Technical Specification, Part 3 Common Profiles, 1.8.0 Rev 4*
- *Storage Management Technical Specification, Part 4 Block Devices, 1.8.0 Rev 4*
- *Storage Management Technical Specification, Part 5 Filesystems, 1.8.0 Rev 4*
- *Storage Management Technical Specification, Part 6 Fabric, 1.8.0 Rev 4*
- *Storage Management Technical Specification, Part 7 Host Elements, 1.8.0 Rev 4*
- *Storage Management Technical Specification, Part 8 Media Libraries, 1.8.0 Rev 4*

SNIA Web Site

Current SNIA practice is to make updates and other information available through their web site at http://www.snia.org

SNIA Address

Requests for interpretation, suggestions for improvement and addenda, or defect reports are welcome. They should be sent via the SNIA Feedback Portal at http://www.snia.org/feedback/ or by mail to the Storage Networking Industry Association, 4360 ArrowsWest Drive, Colorado Springs, Colorado 80907, U.S.A.

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1 Scope

Storage Management Technical Specification, Part 2 Common Architecture, 1.8.0 Rev 4 defines the core architecture and protocols in SMI-S. The components of SMI-S architecture include:

- Transport - communicating management information between constituents of the management system
- Health and fault management - detecting failures through monitoring the state of storage components
- General information about the object model
- Names - how SMI-S uses names to allow applications to correlate across SMI-S and to other standards
- Standard messages - how exceptions are presented to client applications
- Service discovery - techniques clients use to discover SMI-S services
- Installation and upgrade - recommendations for implementations
- Compliance - requirement for compliance to the standard

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2 Normative references

2.1 General

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

2.2 Approved references

ISO/IEC 14776-413, SCSI Architecture Model - 3 (SAM-3) [ANSI INCITS 402-200x]

ISO/IEC 14776-452, SCSI Primary Commands - 3 (SPC-3) [ANSI INCITS.351-2005]

ANSI/INCITS 374:2003, Information technology - Fibre Channel Single - Byte Command Set-3 (FC-SB-3)

ISO/IEC 20648, Information technology — TLS specification for storage systems

SNIA TLS Specification for Storage Systems
http://www.snia.org/https://www.snia.org/tech_activities/standards/curr_standards/tls

2.3 DMTF references (Final)

DMTF Final documents are accepted as standards. For DMTF Draft or Preliminary documents, see 2.5.

DMTF DSP0004, CIM Infrastructure Specification 3.0.1
http://www.dmtf.org/sites/default/files/standards/documents/DSP0004_3.0.1.pdf

DMTF DSP0200, CIM Operations over HTTP 1.4
http://www.dmtf.org/sites/default/files/standards/documents/DSP0200_1.4.pdf

DMTF DSP0201 Representation of CIM in XML 2.4
http://www.dmtf.org/sites/default/files/standards/documents/DSP0201_2.4.pdf

DMTF DSP0202 CIM Query Language Specification 1.0
http://www.dmtf.org/standards/published_documents/DSP0202_1.0.0.pdf

DMTF DSP0205 WBEM Discovery Using the Service Location Protocol 1.0.1
http://dmtf.org/sites/default/files/standards/documents/DSP0205_1.0.1.pdf

DMTF DSP0206 WBEM SLP Template 1.0
http://www.dmtf.org/sites/default/files/standards/documents/wbem.1.0.en

DMTF DSP0207, 1.0.1 WBEM URI Mapping Specification
http://www.dmtf.org/sites/default/files/standards/documents/DSP0207_1.0.1.pdf

DMTF DSP0210 CIM-RS Protocol 1.0
http://www.dmtf.org/sites/default/files/standards/documents/DSP0210_1.0.pdf

DMTF DSP0211 CIM-RS Payload Representation in JSON 1.0
http://www.dmtf.org/sites/default/files/standards/documents/DSP0211_1.0.pdf

DMTF DSP0221 Managed Object Format (MOF) 3.0.1
http://www.dmtf.org/sites/default/files/standards/documents/DSP0221_3.0.1.pdf

DMTF DSP0223 Generic Operations 2.0.0
http://www.dmtf.org/sites/default/files/standards/documents/DSP0223_2.0.0.pdf

DMTF DSP0226, WS-Management Protocol Specification 1.1.1
http://www.dmtf.org/sites/default/files/standards/documents/DSP0226_1.1.1.pdf

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DMTF DSP0227, WS-Management CIM Binding Specification 1.2
http://www.dmtf.org/sites/default/files/standards/documents/DSP0227_1.2.pdf

DMTF DSP0228, Message Registry Schema, 1.1.0
http://schemas.dmtf.org/wbem/messageregistry/1/dsp0228_1.1.0.xsd

DMTF DSP2011, Standard Messages Whitepaper 1.0
http://www.dmtf.org/sites/default/files/standards/documents/DSP2011.pdf

DMTF DSP0230, WS-CIM Mapping Specification 1.1.0
http://www.dmtf.org/sites/default/files/standards/documents/DSP0230_1.1.0.pdf

DMTF DSP8000 1.2.0 Message Registry Print XSLT Stylesheet
http://schemas.dmtf.org/wbem/messageregistry/1/dsp8000_1.2.0.xsl

DMTF DSP8016 2.0.0 WBEM Operations Message Registry
http://schemas.dmtf.org/wbem/messageregistry/1/dsp8016_2.0.0.xml

2.4 IETF references

For IETF Informational documents and proposed standards, see 2.5.

IETF RFC 2045, Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies
http://www.ietf.org/rfc/rfc2045.txt

IETF RFC 2246, The TLS Protocol Version 1.0
http://www.ietf.org/rfc/rfc2246.txt

IETF RFC 4291, IP Version 6 Addressing Architecture

IETF RFC 2396, Uniform Resource Identifiers (URI)
http://www.ietf.org/rfc/rfc2396.txt

IETF RFC 2608, Service Location Protocol, Version 2
http://www.ietf.org/rfc/rfc2608.txt

IETF RFC 2609, Service Templates and Service: Schemes
http://www.ietf.org/rfc/rfc2609.txt

IETF RFC 2610, DHCP Options for Service Location Protocol
http://www.ietf.org/rfc/rfc2610.txt

IETF RFC 2616, Hypertext Transfer Protocol -- HTTP/1.1
http://www.ietf.org/rfc/rfc2616.txt

IETF RFC 2617, HTTP Authentication: Basic ad Digest Access Authentication
http://www.ietf.org/rfc/rfc2617.txt

IETF RFC 2445, Internet Calendaring and Scheduling Core Object Specification (iCalendar)
http://www.ietf.org/rfc/rfc2445.txt

IETF RFC 3280, Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile
http://www.ietf.org/rfc/rfc3280.txt

IETF RFC 3723, Securing Block Storage Protocols over IP
http://www.ietf.org/rfc/rfc3723.txt

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IETF RFC 3986, Definitions of Managed Objects for the DS3/E3 Interface Type
http://www.ietf.org/rfc/rfc3986.txt

IETF RFC 4291, IP Version 6 Addressing Architecture
http://www.ietf.org/rfc/rfc4291.txt

IIETF RFC 4514, Lightweight Directory Access Protocol (LDAP): String Representation of Distinguished Names
http://www.ietf.org/rfc/rfc4514.txt

2.5 References under development

The following documents (and their web addresses) are subject to change.

DMTF DSP8055 1.0.0d Diagnostic Message Registry
http://www.dmtf.org/sites/default/files/standards/documents/DSP8055_1.0.0d.xml

2.6 Other references

IETF RFC 1945 Hypertext Transfer Protocol -- HTTP/1.0
http://www.ietf.org/rfc/rfc1945.txt

IETF RFC 2614 An API for Service Location
http://www.ietf.org/rfc/rfc2614.txt

UML (Universal Modeling Language) Specifications
http://www.omg.org/technology/documents/modeling_spec_catalog.htm#UML

ITU-T Recommendation X.509 (1997 E): Information Technology - Open Systems Interconnection - The Directory: Authentication Framework

PKCS #12, Personal Information Exchange Syntax
http://www.rsasecurity.com/rsalabs/node.asp?id=2138

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